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*Topics from 20<sup>th</sup> century physics.*  
*An introductory course for students in mathematics*

### III. QUANTUM THEORY: CHAPTERS 10 - 13

#### – APPLYING SYMMETRY PRINCIPLES

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# 1. TRANSLATION SYMMETRY AND LINEAR MOMENTUM

## 1.1. Translation symmetry for a single particle

The translations in space over vectors  $\vec{a}$  form a 3-dimensional abelian Lie group. It has an obvious unitary representation in the Hilbert space  $\mathcal{H} = L^2(\mathbb{R}^3, d\vec{x})$  of a single particle, given as

$$(U(\vec{a})\psi)(\vec{x}) = \psi(\vec{x} - \vec{a}),$$

for all  $\vec{a}$  in  $\mathbb{R}^3$ . The Hamiltonian of this system has a kinetic energy term

$$H_0 = \frac{\vec{P}^2}{2m} = -\frac{\hbar^2}{2m}\Delta,$$

and possibly a potential energy term  $V$  consisting of the operator of multiplication by a potential function  $V(\vec{x})$ . The unitary operators  $U(\vec{a})$  commute with  $H_0$  because taking second derivatives and translation over  $\vec{a}$  commutes. The  $U(\vec{a})$  do not commute with  $V$  because  $V(\vec{x})\psi(\vec{x} - \vec{a}) \neq V(\vec{x} - \vec{a})\psi(\vec{x} - \vec{a})$ .

Taylor expansion gives

$$\begin{aligned} (U(\vec{a})\psi)(\vec{x}) &= \psi(\vec{x} - \vec{a}) = \\ &= \psi(\vec{x}) + \sum_{j=1}^3 (-a_j) \frac{\partial}{\partial x_j} \psi(\vec{x}) + \frac{1}{2} \sum_{j,k=1}^3 (-a_j)(-a_k) \frac{\partial^2}{\partial x_j \partial x_k} \psi(\vec{x}) + \dots \end{aligned}$$

This suggests that  $U(\vec{a})$  can be written as

$$U(\vec{a}) = e^{\vec{a} \cdot \vec{P}'},$$

with the three commuting antihermitian operators

$$P'_j = -\frac{\partial}{\partial x_j},$$

representing the three basis elements of the 3-dimensional abelian Lie algebra of the group of translations. Instead of the antihermitic  $P'_j$  we can use the *selfadjoint* generators

$$P_j = i\hbar P'_j = \frac{\hbar}{i} \frac{\partial}{\partial x_j},$$

which as observables we already know as the components of linear momentum. This gives

$$U(\vec{a}) = e^{-\frac{i}{\hbar} \vec{a} \cdot \vec{P}}.$$

*Conclusion*: The group of translations in space is a symmetry group for a single *free* particle. The conserved quantities associated with this symmetry are the three components of *linear momentum*. A single particle in a potential has no translation symmetry.

### 10.2. Translation symmetry for a system of two particles

Consider a system of two different particles, with masses  $m_1$  and  $m_2$ . Its Hilbert space of states is  $\mathcal{H} = L^2(\mathbb{R}^6, d\vec{x}d\vec{y})$ . The group of 3-dimensional translations has a unitary representation in this  $\mathcal{H}$  given by

$$(U(\vec{a})\psi)(\vec{x}, \vec{y}) = \psi(\vec{x} - \vec{a}, \vec{y} - \vec{a}),$$

for all  $\vec{a}$  in  $\mathbb{R}^3$ . The Hamiltonian of this system contains a kinetic energy term

$$H_0 = \frac{\vec{P}_x^2}{2m_1} + \frac{\vec{P}_y^2}{2m_2} = -\frac{\hbar^2}{2m_1}\Delta_x - \frac{\hbar^2}{2m_2}\Delta_y,$$

which clearly commutes with all  $U(\vec{a})$ . An interaction potential of the form  $V(\vec{x}, \vec{y}) = V(\vec{x} - \vec{y})$ , i.e. depending only on the difference of the positions, also commutes with the  $U(\vec{a})$ . However, an additional potential  $V_1(\vec{x}) + V_1(\vec{y})$ , associated with an external force, does *not* commute with the  $U(\vec{a})$ .

Taylor expansion of  $(U(\vec{a})\psi)(\vec{x}, \vec{y})$  gives in this case

$$\begin{aligned} (U(\vec{a})\psi)(\vec{x}, \vec{y}) &= \psi(\vec{x} - \vec{a}, \vec{y} - \vec{a}) = \\ &= \psi(\vec{x}, \vec{y}) + \sum_{j=1}^3 (-a_j) \left( \frac{\partial}{\partial x_j} + \frac{\partial}{\partial y_j} \right) \psi(\vec{x}, \vec{y}) + \\ &+ \sum_{j,k=1}^3 (-a_j)(-a_k) \left( \frac{\partial^2}{\partial x_j \partial x_k} + 2\frac{\partial^2}{\partial x_j \partial y_k} + \frac{\partial^2}{\partial y_j \partial y_k} \right) \psi(\vec{x}, \vec{y}) + \dots, \end{aligned}$$

which suggest that the  $U(\vec{a})$  can be written as

$$U(\vec{a}) = e^{\vec{a} \cdot \vec{P}'},$$

with the three commuting antihermitian operators  $P'_j$  in this case defined as

$$P'_j = -\frac{\partial}{\partial x_j} - \frac{\partial}{\partial y_j},$$

or as

$$U(\vec{a}) = e^{-\frac{i}{\hbar} \vec{a} \cdot \vec{P}},$$

with three commuting selfadjoint generators

$$P_j = i\hbar P'_j = \frac{\hbar}{i} \frac{\partial}{\partial x_j} + \frac{\hbar}{i} \frac{\partial}{\partial y_j} = (P_x)_j + (P_y)_j,$$

the three components of the total linear momentum of the system.

*Conclusion*: A system of two particles, interacting through a potential depending only on the difference  $\vec{x} - \vec{y}$ , has translation symmetry. The conserved quantities associated with this symmetry are the three components of the *total*

*linear momentum* of the system. Adding a potential connected with external forces breaks this symmetry.

*Remark:* The examples of this section and the preceding one are meant as very simple demonstrations of the relation between symmetries and conserved quantities. The fact that the momentum  $\vec{P}$  of a free particle is a conserved quantity follows of course immediately from the form of the Hamiltonian; it does not need the context of Lie groups. The example of a system of two particles is only slightly less trivial as a group theory exercise. However, the general principle involved in the relation between translation symmetry and conservation of momentum is very important in systems where one does not have an obvious suitable notion of total momentum, such as classical and quantum field theory. In such cases it is used to *define* it.

## 11. ROTATION SYMMETRY AND ANGULAR MOMENTUM

### 11.1. The rotation group $SO(3)$ and its Lie algebra $so(3)$

$SO(3)$ , the group of (continuous) rotations in 3-dimensional Euclidean space, consists of all  $3 \times 3$  orthogonal matrices with determinant equal to 1. Consider the 1-parameter subgroup of matrices

$$D_1(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix},$$

the rotations over an angle  $\theta$  around the  $x_1$ -axis. One has

$$\left( \frac{d}{d\theta} D_1(\theta) \right)_{\theta=0} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix} = d_1,$$

which implies that  $D_1(\theta)$  can be written as  $D_1(\theta) = e^{\theta d_1}$ . Similarly, the subgroups of rotations around the  $x_2$ - and  $x_3$ -axis can be written as  $D_2(\theta) = e^{\theta d_2}$  and  $D_3(\theta) = e^{\theta d_3}$ , with

$$d_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix},$$

respectively

$$d_3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The matrices  $D_j(\theta)$  describe what are called *infinitesimal rotations* around the  $x_j$ -axes. They form a basis for the vector space of all antisymmetric  $3 \times 3$  matrices, the Lie algebra  $so(3)$  of  $SO(3)$ , and satisfy the commutation relations

$$[d_1, d_2] = d_3, \quad [d_2, d_3] = d_1, \quad [d_3, d_1] = d_2.$$

### 11.2. Unitary representations in the single particle Hilbert space

The group of spatial rotations is represented in  $\mathcal{H} = L^2(\mathbb{R}^3, d\vec{x})$ , the single particle state space, by unitary operators as

$$(U(D)\psi)(\vec{x}) = \psi(D^{-1}\vec{x}),$$

for all  $D$  in  $SO(3)$  and with  $(D^{-1}\vec{x})_j = \sum_{k=1}^3 (D^{-1})_{jk} x_k$ . According to the general scheme discussed in Appendix D, Section 5.3, the 1-parameter groups of orthogonal matrices  $D_j(\theta) = e^{\theta d_j}$  are represented by the operators

$$U(D_j(\theta)) = U(e^{\theta d_j}) = e^{\theta \pi(d_j)},$$

with the antihermitian operators  $\pi(d_j)$  representing the Lie algebra elements  $d_j$ , with the same commutation relations as the  $d_j$ , i.e.  $[\pi(d_1), \pi(d_2)] = \pi([d_1, d_2]) = \pi(d_3)$ , etc.

Using the Taylor expansion of  $(U(D_j(\theta))\psi)(\vec{x})$  one finds the generators  $\pi(d_j)$  as differential operators in  $\mathcal{H} = L^2(\mathbb{R}^3, d\vec{x})$ . For  $j = 1$  one has

$$\begin{aligned} (U(D_1(\theta))\psi)(\vec{x}) &= \psi(D_1^{-1}(\theta)\vec{x}) = \\ &= \psi(e^{-\theta d_1}\vec{x}) = \psi(\vec{x} - \theta d_1 \vec{x} + \dots). \end{aligned}$$

After substitution of the explicit form of the matrix  $d_1$  this becomes

$$\begin{aligned} &\psi(x_1, x_2 + \theta x_3 + \dots, x_3 - \theta x_2 + \dots) = \\ &= \psi(\vec{x}) + \theta \left( x_3 \frac{\partial}{\partial x_2} - x_2 \frac{\partial}{\partial x_3} \right) \psi(\vec{x}) + \dots \end{aligned}$$

Comparison with

$$U(D_1(\theta)) = e^{\theta \pi(d_1)} = 1 + \theta \pi(d_1) + \dots$$

gives finally

$$\pi(d_1) = x_3 \frac{\partial}{\partial x_2} - x_2 \frac{\partial}{\partial x_3} = -\frac{i}{\hbar} (Q_2 P_3 - Q_3 P_2) = -\frac{i}{\hbar} L_1,$$

with  $L_1$  the selfadjoint operator for the  $x_1$  component of the quantum mechanical angular momentum, introduced and discussed in 7.1. For  $j = 1$  and  $j = 3$  the same procedure gives

$$\pi(d_2) = x_1 \frac{\partial}{\partial x_3} - x_3 \frac{\partial}{\partial x_1} = -\frac{i}{\hbar} (Q_3 P_1 - Q_1 P_3) = -\frac{i}{\hbar} L_2,$$

respectively

$$\pi(d_3) = x_2 \frac{\partial}{\partial x_1} - x_1 \frac{\partial}{\partial x_2} = -\frac{i}{\hbar} (Q_1 P_2 - Q_2 P_1) = -\frac{i}{\hbar} L_3.$$

The commutation relations for the  $L_j$ ,

$$[L_1, L_2] = i\hbar L_3, \quad [L_2, L_3] = i\hbar L_1, \quad [L_3, L_1] = i\hbar L_2,$$

can be calculated using these explicit expressions, but they follow here immediately from the group theoretic relation

$$[\pi(d_j), \pi(d_k)] = \pi([d_j, d_k]),$$

for  $j, k = 1, 2, 3$ . We have for the unitary operators

$$U(D_j(\theta)) = e^{\theta\pi(d_j)} = e^{-\frac{i}{\hbar}\theta L_j}.$$

### 11.3. Rotation symmetry for a single particle

The operators  $U(D)$ , representing the group  $SO(3)$  in  $\mathcal{H} = L^2(\mathbb{R}^3, d\vec{x})$  commute with the Hamiltonian  $H = H_0 + V$  of a particle moving in a rotation invariant potential, i.e. a potential of the form  $V(|\vec{x}|)$ . (Note that the simplest way to check that the  $U(D)$  commute with the kinetic energy part of the Hamiltonian  $H_0$  is to look at the Fourier transformed picture in which  $H_0$  acts on the Fourier transformed functions  $\hat{\psi}(\vec{p})$  as multiplication by a factor  $-(1/\hbar^2)|\vec{p}|^2$  and  $U(D)$  changes  $\hat{\psi}(\vec{p})$  into  $\hat{\psi}(D^{-1}\vec{p})$ .)

*Conclusion*:  $SO(3)$ , the group of rotations in space, is a symmetry group for the system of a single particle moving in a centrally symmetric potential  $V(|\vec{x}|)$ . The conserved quantities associated with this symmetry are the three components of *angular momentum*.

To understand the physical properties of angular momentum in this quantum mechanical system one has to study in the first place the spectrum of the operators  $L_j$ . Note that because the  $L_j$  do not commute one cannot look for a common system of eigenvectors; the  $L_j$  have to be discussed separately. The  $L_j$  can be transformed into each other by unitary operators  $U(D)$ . One can for instance easily derive that  $e^{\frac{i}{\hbar}\frac{\pi}{2}L_1}L_2e^{-\frac{i}{\hbar}\frac{\pi}{2}L_1}$  is equal to  $L_3$ . This implies that the three  $L_j$  have the same spectrum. To find this spectrum, say that of  $L_3$ , it is of no help that it is a simple expression in operators for position and momentum, operators of which we know the spectrum. In fact the spectrum of  $L_3$  turns out to be discrete, contrary to that of the position and momentum operators.

To find the spectrum of  $L_3$  we introduce spherical coordinates  $r$ ,  $\nu$  and  $\theta$ , by the formulas

$$\begin{aligned} x_1 &= r \sin \nu \cos \theta \\ x_2 &= r \sin \nu \sin \theta \\ x_3 &= r \cos \nu \end{aligned}$$

Writing the wave functions  $\psi$  as functions of these coordinates, the inner product of two wave functions  $\psi_1$  and  $\psi_2$  becomes

$$(\psi_1, \psi_2) = \int_0^\infty \int_0^{2\pi} \int_0^\pi \overline{\psi_1(r, \nu, \theta)} \psi_2(r, \nu, \theta) r^2 \sin \nu \, d\nu dr d\theta.$$

It is clear that the operators  $U(D)$  act only on the angle variables  $\nu$  and  $\theta$ ; the 1-parameter group  $U(D_3(\theta))$  acts only on  $\theta$ . We have

$$(U(D_3(\theta'))\psi)(r, \nu, \theta) = \left( e^{-\frac{i}{\hbar}\theta' L_3} \psi \right) (r, \nu, \theta) = \psi(r, \nu, \theta - \theta'),$$

which implies

$$L_3\psi = \frac{\hbar}{i} \frac{\partial\psi}{\partial\theta}.$$

The general solution of the eigenvalue/eigenvector equation

$$\frac{\hbar}{i} \frac{\partial\psi}{\partial\theta} = m_3\psi$$

has the form

$$\psi_{m_3}(r, \nu, \theta) = \phi(r, \nu) e^{im_3\theta},$$

for a real eigenvalue  $m_3\hbar$  and an arbitrary function  $\phi(r, \nu)$  for which the integral  $\int_0^\infty \int_0^{2\pi} |\phi(r, \nu)|^2 r^2 \sin \nu \, dr \, d\nu$  is convergent. The 1-parameter group of unitary operators  $e^{-\frac{i}{\hbar}\theta' L_3}$ , representing the rotations along the  $x_3$ -axis over an angle  $\theta'$ , act on these eigenstates as

$$\left( e^{-\frac{i}{\hbar}\theta' L_3} \psi_{m_3} \right) (r, \nu, \theta) = \psi_{m_3}(r, \nu, \theta - \theta') = e^{-im_3\theta'} \psi_{m_3}(r, \nu, \theta).$$

Because a rotation over an angle  $\theta'$  is the same as a rotation over  $\theta' + 2\pi$ , the number  $m_3$  has to be one of the discrete values  $m_3 = 0, \pm 1, \pm 2, \dots$

This result can be slightly generalized to the statement that the component of the angular momentum in any given direction is ‘quantized’ in the sense that it can only assume the discrete values  $m_3\hbar$ ,  $m_3 \in Z$ . The eigenvalues clearly have infinite degeneration, due to the presence of the functions  $\phi(r, \nu)$ . To discuss this and other physically interesting features of the situation one needs to know more about the way the representation  $\{U(D)\}_{D \in SO(3)}$  can be written in terms of irreducible representations. Mathematical material for this and for a further study of eigenstates, etc., will be supplied in the next section. This will be useful for our treatment of the *hydrogen atom* in Chapter 12 and for the notion of *spin* in Chapter 13.

#### 11.4. The irreducible unitary representations of $so(3)$ and $S(3)$

The group  $SO(3)$  is compact. It is known that the irreducible unitary representations of compact Lie groups, together with the corresponding Lie algebra representations, are all finite dimensional.

Assume that we have an irreducible unitary representation of the Lie algebra  $so(3)$  in a finite dimensional Hilbert space  $\mathcal{K}$ . Such a representation is generated by three selfadjoint operators  $A_1, A_2$  and  $A_3$  in  $\mathcal{K}$ , satisfying the commutation relations

$$[A_1, A_2] = iA_3, \quad [A_2, A_3] = iA_1, \quad [A_3, A_1] = iA_2.$$

Define the operators  $A^2 = (A_1)^2 + (A_2)^2 + (A_3)^2$  and  $A_{\pm} = A_1 \pm iA_2$ . The operator  $A^2$  is positive and commutes with the three  $A_j$ , as one easily verifies. *Schur's lemma* states that in a finite dimensional space a linear operator that commutes with all the members of an irreducible system of operators is necessarily a multiple of the unit operator. Therefore  $A^2\psi = \lambda\psi$ , for a fixed positive constant  $\lambda$  and for all  $\psi$  in  $\mathcal{K}$ . For the  $A_{\pm}$  one has  $A_{-}^* = A_{+}$  and the commutation relations

$$[A_{+}, A_{-}] = 2A_3, \quad [A_3, A_{\pm}] = \pm A_{\pm}.$$

Write  $A_{+}A_{-} = (A_1 + iA_2)(A_1 - iA_2) = (A_1)^2 + (A_2)^2 - i[A_1, A_2] = A^2 - (A_3)^2 + A_3$ , etc., and a similar expression for  $A_{-}A_{+}$ . This gives the expressions

$$\begin{aligned} A_{+}A_{-} &= A^2 - A_3(A_3 - 1) \\ A_{-}A_{+} &= A^2 - A_3(A_3 + 1) \end{aligned}$$

LEMMA 1: Let  $\phi_{\alpha}$  be an eigenvector of  $A_3$  with eigenvalue  $\alpha$ . For  $\alpha(\alpha + 1) \neq \lambda$  one has  $A_{+}\phi_{\alpha} \neq 0$ ,  $A_{+}\phi_{\alpha}$  an eigenvector of  $A_3$  with eigenvalue  $\alpha + 1$ , and  $A_{-}A_{+}\phi_{\alpha} = (\lambda - \alpha(\alpha + 1))\phi_{\alpha}$ . For  $\alpha(\alpha + 1) = 0$  one has  $A_{+}\phi_{\alpha} = 0$

*Proof:* Follows easily from the property  $A_{+}^* = A_{-}$  and two identities:

1.  $A_3(A_{+}\phi_{\alpha}) = ([A_3, A_{+}] + A_{+}A_3)\phi_{\alpha} = (A_{+} + A_{+}A_3)\phi_{\alpha} = (\alpha + 1)A_{+}\phi_{\alpha}$ .
2.  $A_{-}A_{+}\phi_{\alpha} = (A^2 - A_3(A_3 + 1))\phi_{\alpha} = (\lambda - \alpha(\alpha + 1))\phi_{\alpha}$ .

LEMMA 2: Let  $\phi_{\alpha}$  be an eigenvector of  $A_3$  with eigenvalue  $\alpha$ . For  $\alpha(\alpha - 1) \neq \lambda$  one has  $A_{-}\phi_{\alpha} \neq 0$ ,  $A_{-}\phi_{\alpha}$  an eigenvector of  $A_3$  with eigenvalue  $\alpha - 1$ , and  $A_{+}A_{-}\phi_{\alpha} = (\lambda - \alpha(\alpha - 1))\phi_{\alpha}$ . For  $\alpha(\alpha - 1) = 0$  one has  $A_{-}\phi_{\alpha} = 0$

*Proof:* Follows easily from the property  $A_{+}^* = A_{-}$  and two identities:

1.  $A_3(A_{-}\phi_{\alpha}) = ([A_3, A_{-}] + A_{-}A_3)\phi_{\alpha} = (-A_{-} + A_{-}A_3)\phi_{\alpha} = (\alpha - 1)A_{-}\phi_{\alpha}$ .
2.  $A_{+}A_{-}\phi_{\alpha} = (A^2 - A_3(A_3 - 1))\phi_{\alpha} = (\lambda - \alpha(\alpha - 1))\phi_{\alpha}$ .

The operator  $A_3$  has at least one eigenvector  $\phi_{\alpha}$  with eigenvalue  $\alpha$ . Because of the two lemmas there is a sequence of eigenvectors of  $A_3$

$$\dots, (A_{-})^2\phi_{\alpha}, A_{-}\phi_{\alpha}, \phi_{\alpha}, A_{+}\phi_{\alpha}, (A_{+})^2\phi_{\alpha}, \dots$$

with eigenvalues  $\dots, \alpha - 2, \alpha - 1, \alpha, \alpha + 1, \alpha + 2, \dots$ . Because  $\mathcal{K}$  is finite dimensional this sequence is finite; it starts with an eigenvector  $\phi_{\alpha_1}$  with the eigenvalue  $\alpha_1$  and ends with an eigenvector  $\phi_{\alpha_2}$  with eigenvalue  $\alpha_2$ , with the difference  $\alpha_2 - \alpha_1$  a nonnegative integer  $p$ . One has  $A_{-}\phi_{\alpha_1} = 0$  and  $A_{+}\phi_{\alpha_2} = 0$ , which implies  $\alpha_1(\alpha_1 - 1) = \lambda$  and  $\alpha_2(\alpha_2 + 1) = \lambda$ . Combining these two relations with  $\alpha_2 - \alpha_1 = p$  and  $p \geq 0$  leads to  $\alpha_1 = -\frac{1}{2}p$ ,  $\alpha_2 = +\frac{1}{2}p$  and  $\lambda = \frac{1}{2}p(\frac{1}{2}p + 1)$ . The linear subspace spanned by the sequence of  $p + 1$  eigenvectors of  $A_3$ , say  $\phi_{\alpha_1}, \dots, \phi_{\alpha_2}$ , is invariant under the action of  $A_{-}$ ,  $A_{+}$  and  $A_3$ , and therefore under  $A_1$ ,  $A_2$  and  $A_3$ . These three operators were assumed to generate an irreducible representation of  $so(3)$ , so the invariant subspace must be  $\mathcal{K}$  itself.

Introducing  $j = \frac{1}{2}p$ , the result obtained in this manner can be stated as follows: the irreducible representation that we assumed to be given is characterized by



a number  $j$  taking one of the values  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \frac{5}{2}, \dots$ . The representation space  $\mathcal{K}$  is  $(2j + 1)$ -dimensional, spanned by eigenvectors  $\phi_m$  of  $A_3$  with eigenvalues  $m = -j, -j+1, \dots, +j-1, +j$ . The operator  $A^2$  multiplies all vectors in  $\mathcal{K}$  by the constant  $j(j + 1)$ .

The vectors  $\phi_m$  are orthogonal but not normalized. We are free to choose one eigenvector, say the one with the lowest eigenvalue  $m = -j$ , to be of unit length. We call it  $\phi_{-j}$ . The length of the next eigenvector is then given by

$$\begin{aligned} \|A_+ \phi_{-j}\|^2 &= (A_+ \phi_{-j}, A_+ \phi_{-j}) = (\phi_{-j}, A_- A_+ \phi_{-j}) = \\ &= (\phi_{-j}, (A^2 - A_3(A_3 + 1))\phi_{-j}) = 2l(\phi_{-j}, \phi_{-j}) = 2j. \end{aligned}$$

We choose therefore the second normalized eigenvector as

$$\phi_{-j+1} = (2j)^{-1/2} A_+ \phi_{-j}.$$

Continuing this process we obtain an orthonormal basis of  $2j + 1$  eigenvectors of  $A_3$ , denoted as

$$\phi_m = C_m (A_+)^{m+l} \phi_{-j},$$

for  $m = -j, -j+1, \dots, +j$ . The normalization constants  $C_m$  can be calculated; they are determined up to a phase factor which we fix by requiring that the  $C_m$  are positive. One next calculates the matrix elements of the operators  $A_-$  and  $A_+$  with respect to the  $\phi_m$  as

$$\begin{aligned} (\phi_m, A_- \phi_{m'}) &= (j(j + 1) - m(m + 1))^{1/2} \delta_{m+1, m'} \\ (\phi_m, A_+ \phi_{m'}) &= (j(j + 1) - m'(m' + 1))^{1/2} \delta_{m, m'+1}. \end{aligned}$$

From this we obtain the matrix elements of  $A_1$  and  $A_2$ . This means that from our initial assumption that an irreducible representation of  $so(3)$  was given, we have finally derived the explicit matrix form of such a representation, in fact the explicit form of all possible finite dimensional irreducible representations of  $so(3)$ .

The irreducible representations of the *Lie algebra*  $so(3)$  are characterized by a number  $j$ , integer or half-integer, i.e. taking the values  $j = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \dots$ . Can all these representations be exponentiated to representations of the *group*  $SO(3)$ ? The answer follows immediately from the form of the representation of the 1-parameter subgroup  $D_3(\theta) = e^{\theta d_3}$ , as defined in 11.1. One should have

$$U(e^{\theta d_3}) \phi_m = e^{-\frac{i}{\hbar} \theta L_3} \phi_m = e^{-i \theta A_3} \phi_m = e^{-i \theta m} \phi_m.$$

Rotation over an angle  $\theta$  is the same as rotation over an angle  $\theta + 2\pi$ . This excludes the half integer values of  $m$ , and consequently the half-integer values of  $j$ . We can state therefore the following general result for the representations of  $SO(3)$ :

An irreducible unitary representation of the group  $SO(3)$ , necessarily finite dimensional, is characterized by an integer usually denoted as  $l$ , which takes

one of the values  $l = 0, 1, 2, 3, \dots$ . The dimension of such a representation is  $2l + 1$ ; it is spanned by vectors  $\phi_{m_3}$ , eigenvectors of  $L_3$  with eigenvalues  $\hbar m_3$ , for  $m_3 = -l, -l + 1, \dots, +l$ .

### 11.5. The irreducible unitary representations of $su(2)$ and $SU(2)$

Consider the Lie algebra  $su(2)$ , which consists of all  $2 \times 2$  antihermitian matrices. The three matrices

$$\hat{d}_1 = \begin{pmatrix} 0 & -\frac{i}{2} \\ -\frac{i}{2} & 0 \end{pmatrix}, \quad \hat{d}_2 = \begin{pmatrix} 0 & -\frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}, \quad \hat{d}_3 = \begin{pmatrix} -\frac{i}{2} & 0 \\ 0 & \frac{i}{2} \end{pmatrix}$$

form a basis of  $su(2)$ . Their commutation relations are

$$[\hat{d}_1, \hat{d}_2] = \hat{d}_3, \quad [\hat{d}_2, \hat{d}_3] = \hat{d}_1, \quad [\hat{d}_3, \hat{d}_1] = \hat{d}_2.$$

This shows that  $su(2)$  is isomorphic to  $so(3)$ . The representations of  $so(3)$  that we have found can therefore also be seen as representations of  $su(2)$ . It is not hard to check that as such they can be exponentiated to representations of the group  $SU(2)$  for *all* values of  $j = 0, \frac{1}{2}, 2, \frac{3}{2}, 3, \dots$ . The map  $su(2) \rightarrow so(3)$  is the Lie algebra isomorphism associated with a two-to-one Lie group homomorphism from  $SU(2)$  onto  $SO(3)$ . This homomorphism can be worked out explicitly, but this will not be done here. A general element of  $SU(2)$  can be written as

$$\begin{pmatrix} z_1 & -z_2 \\ \bar{z}_2 & \bar{z}_1 \end{pmatrix},$$

with  $z_1$  and  $z_2$  two complex numbers satisfying  $|z_1|^2 + |z_2|^2 = 1$ . Writing  $z_1 = x_1 + iy_1$  and  $z_2 = x_2 + iy_2$ , one realizes that this means that  $SU(2)$  as a manifold is diffeomorphic to the 3-sphere  $S^3$ , and is therefore simply connected.  $SU(2)$  is in fact the unique simply connected covering group of  $SO(3)$ .