1. Consider two Hermitian operators *A* and *B* with commutator

$$[A,B] = i\hbar,$$

and consider a state  $\psi$  such that  $\langle \psi | A | \psi \rangle = 0$  and  $\langle \psi | B | \psi \rangle = 0$ . The "square uncertainties' are defined by  $\Delta A^2 = \langle \psi | A^2 | \psi \rangle$  and  $\Delta B^2 = \langle \psi | B^2 | \psi \rangle$ . The Heisenberg uncertainty relation says that

$$\Delta A^2 \Delta B^2 \ge \hbar^2 / 4.$$

• *a*) Prove this relation by calculating  $\langle \Phi | \Phi \rangle$  with

$$\Phi = \left(A + \frac{i\hbar}{2\Delta B^2}B\right)\psi.$$

In the early development of quantum mechanics, one tried to derive an "energy-time" uncertainty relation by attempting to construct a "time operator"  $\tau$  satisfying  $[H, \tau] = i\hbar$ . As Pauli demonstrated, this attempt fails because the energy spectrum of H would then become unbounded, so there cannot be a ground state. In questions b) and c) you are asked to develop this argument, in two steps:

- *b*) First show that  $[H, \tau] = i\hbar$  implies  $[H, e^{i\omega\tau}] = -\hbar\omega e^{i\omega\tau}$  for any real constant  $\omega$ .
- *c*) Let  $\psi$  be an eigenstate of *H* with eigenvalue *E*. Derive that  $\psi' = e^{i\omega\tau}\psi$  is also an eigenstate of *H*, with eigenvalue  $E \hbar\omega$ . Verify that  $\psi'$  is still normalizable. Hence conclude there cannot be a ground state.
- 2. A bound state in a superconductor is described by the Hamiltonian

$$H = -\mu(a_{\uparrow}^{\dagger}a_{\uparrow} + a_{\downarrow}^{\dagger}a_{\downarrow}) + \Delta a_{\uparrow}^{\dagger}a_{\downarrow}^{\dagger} + \Delta^{*}a_{\downarrow}a_{\uparrow}.$$

Here  $a_{\uparrow}, a_{\downarrow}$  is the annihilation operator of an electron with spin up or down, and  $a_{\uparrow}^{\dagger}, a_{\downarrow}^{\dagger}$  is the corresponding creation operator. The coefficient  $\mu$  (the Fermi energy) is real, the coefficient  $\Delta$  (the pair potential) is complex.

• *a*) The number operator is  $N = a_{\uparrow}^{\dagger}a_{\uparrow} + a_{\downarrow}^{\dagger}a_{\downarrow}$ . Does *N* commute with *H*? Explain what your answer implies for particle number conservation.

The Hilbert space is spanned by the vacuum state  $|\psi_1\rangle = |0\rangle$  and three more states  $|\psi_2\rangle = a_{\uparrow}^{\dagger}a_{\downarrow}^{\dagger}|0\rangle$ ,  $|\psi_3\rangle = a_{\downarrow}^{\dagger}|0\rangle$ ,  $|\psi_4\rangle = a_{\uparrow}^{\dagger}|0\rangle$ . The matrix *h* with elements  $h_{nm} = \langle \psi_n | H | \psi_m \rangle$  has the form

$$h = \begin{pmatrix} a & b & 0 & 0 \\ c & d & 0 & 0 \\ 0 & 0 & a' & b' \\ 0 & 0 & c' & d' \end{pmatrix}.$$

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- *b*) Explain why the off-diagonal blocks of *h* are zero. Calculate the matrix elements *a*, *b*, *c*, *d*, *a'*, *b'*, *c'*, *d'* in the diagonal blocks. Check that the matrix you find is Hermitian.
- *c)* What are the four eigenvalues of *H* without superconductivity, for  $\Delta = 0$ ? How does this spectrum change for nonzero  $\Delta$ ? Sketch the energy levels.

 $\Psi_{\rm out}$ 

 $(\bullet)B$ 

beam splitter

mirror

beam splitter

(2)

(1)

- 3. We consider the ring-shaped conductor shown in the figure. Electrons can enter into the ring (an  $L \times L$  square) with wave amplitude  $\Psi_{in}$  at beam splitter 1 and they can exit the ring with amplitude  $\Psi_{out}$  at beam splitter 2. Each beam splitter transmits with probability T and reflects with probability R = 1 T. The electrons go around the ring in a clockwise direction, and in one revolution they pick up a phase  $\phi$ . We study the transmission probability  $P = |\Psi_{out}|^2 / |\Psi_{in}|^2$ .
- *P* = | **r**<sub>out</sub>| / | **r**<sub>in</sub>| . *a*) Explain how to arrive at this semiclassical formula for the transmission probability:

$$P = \frac{T^2}{1 + R^2 - 2R\cos\phi}.$$

- *b*) For  $\phi = 0$  the transmission probability through the two beam splitters equals 1, even if each beam splitter separately only transmits with probability  $T \ll 1$ . How can one understand this?
- *c)* Sketch how the transmission probability depends on a magnetic field *B*, perpendicular to the ring. *Try to be specific:* For example, if the dependence is a monotonic decay, indicate the values of the low-field and high-field asymptotes. Or if the dependence is oscillatory, give the amplitude and period of the oscillation.
- 4. A particle moves along the *x*-axis in the potential  $V(x) = V_0 x^2$ , with  $V_0 > 0$ .
- *a*) Make a sketch of the wave function  $\Psi_n(x)$  for the ground state and the first two excited states. (Indicate which is which.) Pay particular attention to sign changes of  $\Psi_n(x)$  and to the  $\pm x$  symmetry.

We seek the energy spectrum in the Bohr-Sommerfeld approximation,

$$\frac{1}{\hbar}\oint p_x dx + \gamma = 2\pi n, \ n = 0, 1, 2, \dots$$

- *b*) What is the appropriate value of the phase shift *y*?
- *c*) Calculate the energy levels  $E_n$ .<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>You may want to use the integral  $\int_0^1 \sqrt{1-x^2} dx = \pi/4$ .